

## PART A

### SUMMARY OF IMPORTANT CIRCULARS ISSUED DURING THE PERIOD JANUARY 01, 2022 TO DECEMBER 31, 2022

Sr.No	Subject	Download No	Circular Date
1	Segregation and Monitoring of Collateral at Client Level	51658	March 17, 2022
2	Segregation and Monitoring of Collateral at Client Level	52132	April 28, 2022
3	Changes to the Framework to Enable Verification of Upfront Collection of Margins from Clients in Cash and Derivatives segments	52254	May 10, 2022
4	Standardization of Clearing and Settlement File Formats	52489	June 01, 2022
5	Direct Pledge facility through NSDL	52765	June 28, 2022
6	Report for EOD short allocation of collateral	52987	July 15, 2022
7	Revised format for the Penalty files(PNL files)	53084	July 25, 2022
8	EOD Short allocation of collateral	53134	July 29, 2022
9	File format for reporting collateral against Short Allocation	53167	August 02, 2022
10	Reports for Intraday Short allocation of collateral	53307	August 16, 2022
11	Update regarding reporting for Short Allocation of Collaterals	53924	September 30, 2022
12	Revised Nomenclature for reporting for Short Allocation in NMASS	53936	October 03, 2022
13	Maintenance and Operation of Clearing Bank Account	54393	November 11, 2022
14	Standardization of Clearing and Settlement file formats – Update	54398	November 11, 2022
15	Block Mechanism in demat account of clients undertaking sale transactions	54402	November 11, 2022
16	Segregation and Monitoring of Collateral at Client Level	54691	December 01, 2022